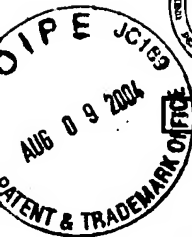




## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov



APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/806,661	03/23/2004	Robert E. Smith III	221-0077US

29855  
 WONG, CABELLO, LUTSCH, RUTHERFORD & BRUCCULERI,  
 P.C.  
 20333 SH 249  
 SUITE 600  
 HOUSTON, TX 77070

CONFIRMATION NO. 1157

## FORMALITIES LETTER



\*OC000000012925106\*

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

08/10/2004 WABDELRI 00000022 501922 10806661

01 FC:2001 385.00 DA  
 02 FC:2051 65.00 DA

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application****Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.

221-0077US

In Re Application Of:

AUG 09 2004

ROBERT E. SMITH III

Serial No.

10/806,661

Filing Date

MARCH 23, 2004

Examiner

UNKNOWN

Group Art Unit

3679

Invention:

**JUNCTION PLATE ASSEMBLY FOR  
UNDERSEA HYDRAULIC COUPLINGS**

Mail Stop Missing PartsTO THE COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

JUNE 14, 2004

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
- ☐ is/are attached.
- ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

**POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM****ASSIGNMENT and RECORDATION FORM COVER SHEET****INFORMATION DISCLOSURE STATEMENT and PTO FORM 1449**

**Response To Notice To File Missing Parts Of Application**

**Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.

221-0077US

In Re Application Of:

AUG 09 2004

ROBERT E. SMITH III

10/806,661

Filing Date

MARCH 23, 2004

Examiner

UNKNOWN

Group Art Unit

3679

Invention:

**JUNCTION PLATE ASSEMBLY FOR  
UNDERSEA HYDRAULIC COUPLINGS**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee

\$385.00

☐ Design application filing fee

☒ Total number of independent claims = 3

☒ Total number of claims = 16

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

\$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees

\$450.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month

☐ Two months

☐ Three months

☐ Four months

☐ Five months

from:

Date

until:

Date

Total time extension fees

Total fees due

\$450.00

**Response To Notice To File Missing Parts Of Application****Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.

221-0077US

In Re Application Of:

AUG 09 2004

ROBERT E. SMITH III

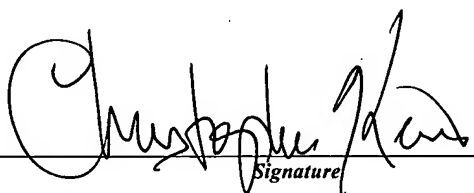
Serial No.  
10/806,661Filing Date  
MARCH 23, 2004Examiner  
UNKNOWNGroup Art Unit  
3679

Invention:

**JUNCTION PLATE ASSEMBLY FOR  
UNDERSEA HYDRAULIC COUPLINGS**TO THE COMMISSIONER FOR PATENTS:Mail Stop Missing Parts

The fee of \$450.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 501922
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 501922

  
Signature

Dated: August 4, 2004

Christopher D. Keirs  
Registration No.: 32,248  
Wong, Cabello, Lutsch, Rutherford  
& Brucculeri, P.C.  
20333 State Highway 249, Suite 600  
Houston, Texas 77070  
Voice: 832/446-2406  
Facsimile: 832/446-2424

I certify that this document and fee is being deposited  
on August 4, 2004 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

  
Signature of Person Mailing Correspondence

Rebecca R. Ginn

Typed or Printed Name of Person Mailing Correspondence

CC: